

PATENT APPLICATION

Sheet 1 of 4

FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 10006728-1	APPLICATION NO. 00/000,000	CONFIRMATION NO.
	APPLICANT Kevin C. Iins		
	FILING DATE Unknown	GROUP Unk 2114	

30821 U.S. PTO
09/966953
09/21/01

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
AML	1A	5,506,955	04-09-96	Chen, et al.	395	183.02
AML	1B	5,566,317	10-15-96	Treiber, et al.	395	444
AML	1C	5,684,945	11-04-97	Chen, et al.	395	182.18
	1D					
	1E					
	1F					
	1G					
	1H					
	1I					
	1J					
	1K					

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L							
	1M							
	1N							
	1O							
	1P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

ban	1Q	"Making Sure Disk Performance Statistics are Collected", Microsoft Corporation, 2000, 2 pages.
	1R	"Monitoring Hard Disk Performance" i386, Dublin, Ireland, 2001, 2 pages.
✓	1S	"Using th Performance Monitor", Microsoft Corporation, 2000, 2 pages.

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08/24/04

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	2A				
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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
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	2L						
	2M						
	2N						
	2O						
	2P						

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

<i>Am</i>	2Q	"Pre-Failure Alerting", Dell Computer Corporation, published to the Internet at least as early as April 9, 2001, 1 page.
<i>Am</i>	2R	"Efficient, Real-Time Management of Storage Resources", U.S. Patent Application of Duggan, et al., Serial No. 09/589,144 filed June 7, 2000.
<i>Am</i>	2S	"Hard Disk Drives", IBM Corporation, published to the Internet at least as early as September 17, 2001, 5 pages.

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Sheet 3 of 4

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	10006728-1	00/000,000	
	APPLICANT Kevin Collins		
	FILING DATE	GROUP	
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REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	3A					
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FOREIGN PATENT DOCUMENTS

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							YES	NO
	3L							
	3M							
	3N							
	3O							
	3P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

100	3Q	"S.M.A.R.T., Advanced Drive Diagnostics", Quantum Corporation, 1998, 13 pages. NOTE: This article was printed from a local cache directory and therefore, some figures are unavailable.
	3R	"Information Brief: Self-Monitoring, Analysis and Reporting Technology", IBM Group, 1998, 4 pages.
✓	3S	"Playing it Smart", Eric Ottem, Judy Plummer, Seagate Technology White Papers, June, 1995, 4 pages.

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Sheet 4 of 4

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	APPLICANT K vin Collins		
	FILING DATE	GROUP	
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U.S. PATENT DOCUMENTS

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	4M							
	4N							
	4O							
	4P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

4Q	"Data Lifeguard Online Diagnostics S.M.A.R.T. Test", Western Digital Corporation, published to the Internet at least as early as September 28, 2000, 2 pages.
4R	
4S	

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